



Commonwealth of Massachusetts

OFFICE OF THE COMPTROLLER

ONE ASHBURTON PLACE, 9TH FLOOR
BOSTON, MASSACHUSETTS 02108
(617) 727-5000
MACOMPTROLLER.ORG



WILLIAM McNAMARA
COMPTROLLER

April 29, 2021

Honorable Michael J. Rodrigues, Chair
Senate Committee on Ways and Means
State House – Room 212
Boston, MA 02133

Honorable Aaron Michlewitz, Chair
House Committee on Ways and Means
State House – Room 243
Boston, MA 02133

RE: Settlements and Judgments Report —FY 2021 Quarter 3 Reporting

Dear Chair Rodrigues and Chair Michlewitz:

Pursuant to Section 2 of Chapter 227 of the Acts of 2020, the Office of the Comptroller hereby submits to the House and Senate Committees on Ways and Means a report on payments made from the Settlements and Judgments reserve (1599-3384) through the third quarter of FY 2021, which ended on March 31, 2021.

The attached report provides information on payees, amounts, and the associated department or agency for settlements and judgments paid from appropriation account 1599-3384. This information is also posted quarterly on the Comptroller's transparency website, CTHRU, which may be accessed via this portal link, <https://www.macomptroller.org/cthr>.

FY 2021 – Spending Quarters 1 thru 3 (July 1, 2020 – March 31, 2021)

In FY 2021, \$10,000,000 was appropriated to the Settlements and Judgments reserve account (1599-3384). Additionally, pursuant to that same line item, a formula is used by which the Secretary of Administration and Finance may establish a spend in anticipation ceiling amount, to which the Office of the Comptroller may spend, above the current appropriation, without further authorization. For FY 2021, the spend in anticipation ceiling was set at \$12,088,374.

As detailed below, a total of \$5,835,544 was spent during the previous quarters (Q1 – Q2) of FY 2021 and \$4,802,263 was spent in the third quarter (Q3).

FY21 – Spending Quarters 1 thru 3 (July 1, 2020 – March 31, 2021)	
Total Amount Available FY21*	\$ 12,088,374
Amount Expended Q1-Q2	\$ (5,835,544)
Amount Expended in Q3	\$ (4,802,263)
Remaining Balance (03/31/21)	\$ 1,450,567
*Represents spend in anticipation ceiling	

As part of the supplemental budget process for FY 2021, additional funds should be appropriated to this account to reflect the amount spent in anticipation of future appropriation.

I am always available, should you have any questions, comments or concerns regarding this account.

Sincerely,



William McNamara
Comptroller of the Commonwealth

WMcN/sp

Enc.

cc: Michael Heffernan, Secretary, Executive Office for Administration and Finance|
Christopher Marino, Budget Director, Senate Ways and Means Committee
David Bunker, Budget Director, House Ways and Means Committee
Catharine Hornby, Undersecretary, Executive Office for Administration and Finance
Jeffrey Shapiro, Esq., First Deputy Comptroller, Office of the Comptroller
Kevin McHugh, Assistant Comptroller, Office of the Comptroller
Amy Nable, General Counsel/Assistant Comptroller, Office of the Comptroller
Jessica Cogswell, Statewide Payments Manager, Office of the Comptroller

**Settlement and Judgment Payments
Appropriation 1599-3384**

Third Quarter BFY2021			
Paid on Behalf of	Payee Name	Payment Date	Line Amount
AGO	PEABODY & ARNOLD LLP	05-Jan-21	\$23,835.00
MGC	BURNS & LEVINSON LLP	06-Jan-21	\$7,500.00
AGO	DARRELL ARNOLD JONES	07-Jan-21	\$800,000.00
GOV	GREENBERG TRAUIG LLP	08-Jan-21	\$2,372.10
SSA	TIMOTHY BURKE	08-Jan-21	\$22,111.27
AGO	CEK BOSTON, P.C.	13-Jan-21	\$3,674.26
AGO	BUTTERS BRAZILIAN, LLP	12-Jan-21	\$42,954.00
DOT	LORI A JODOIN	26-Jan-21	\$60,211.00
DOC	STATUTORY EXEMPTION	29-Jan-21	\$20,000.00
EEC	TARA D GREGORY	03-Feb-21	\$15,000.00
EEC	LICHTEN & LISS-RIORDAN PC	03-Feb-21	\$10,000.00
POL	DAVID J OFFICER PC	04-Feb-21	\$9,880.59
POL	ROGAL & DONNELLAN	04-Feb-21	\$46,451.14
AGO	GOULSTON & STORRS	04-Feb-21	\$2,445.93
POL	ROGAL & DONNELLAN	04-Feb-21	\$1,063.50
POL	ROGAL & DONNELLAN	04-Feb-21	\$6,243.55
POL	ROGAL & DONNELLAN	04-Feb-21	\$10,357.13
POL	ROGAL & DONNELLAN	04-Feb-21	\$1,267.75
MCC	TARP REALTY TRUST	04-Feb-21	\$52,471.19
DOC	WILLIAM R ROCH	04-Feb-21	\$353,840.28
POL	LAW OFFICE DANIEL J MOYNIHAN PC	16-Feb-21	\$7,187.50
POL	RAFANELLI & KITTREDGE PC	16-Feb-21	\$9,117.58
POL	RAFANELLI & KITTREDGE PC	16-Feb-21	\$17,513.50
POL	RAFANELLI & KITTREDGE PC	16-Feb-21	\$10,164.82
POL	RAFANELLI & KITTREDGE PC	16-Feb-21	\$2,657.50
POL	TIMOTHY BURKE	16-Feb-21	\$9,802.90
AGO	BUTTERS BRAZILIAN, LLP	16-Feb-21	\$14,103.70
SDW	TIMOTHY BURKE	19-Feb-21	\$66,732.10
SDW	JUDE T CRISTO	18-Feb-21	\$820,335.31
POL	TIMOTHY BURKE	23-Feb-21	\$64,480.07
AGO	BUTTERS BRAZILIAN, LLP	04-Mar-21	\$11,552.20
QCC	KJC LAW FIRM LLC	05-Mar-21	\$6,033.33
QCC	TAYLOR PINCKNEY	04-Mar-21	\$5,691.67
AGO	CEK BOSTON, P.C.	05-Mar-21	\$3,462.90
ENV	BOWDITCH & DEWEY	23-Mar-21	\$6,640.00
AGO	SEYFARTH SHAW LLP	23-Mar-21	\$50,000.00
POL	LAW OFFICE OF HECTOR PINEIRO PC	31-Mar-21	\$103,797.70
POL	LAW OFFICE OF HECTOR PINEIRO PC	31-Mar-21	\$204,128.49
AGO	AMY L CODAGNONE TIEFENTHALER	01-Apr-21	\$400,000.00
AGO	BUTTERS BRAZILIAN, LLP	01-Apr-21	\$90.00
POL	JAMES CIPOLETTA	02-Apr-21	\$1,962.50
POL	DAVID J OFFICER PC	02-Apr-21	\$3,437.50
POL	DAVID J OFFICER PC	02-Apr-21	\$2,500.00
SEC	Gomes, Angela	05-Jan-21	\$32,000.00
POL	Walsh, Timothy J	05-Jan-21	\$142,509.19
SSA	Sears, Laura J	19-Jan-21	\$44,222.53
DMH	Royal, Rufus	19-Jan-21	\$628.23
WEL	Skinner, Nakisha L	19-Jan-21	\$15,000.00
DOC	Cappello, Nicholas W	19-Jan-21	\$52,922.46
BSC	STATUTORY EXEMPTION	19-Jan-21	\$30,000.00
DOC	Acquafresca, Deanna L	02-Feb-21	\$700.44
DYS	Parks, Jennifer	02-Feb-21	\$19,500.00
DOC	Roch, William R	02-Feb-21	\$142,761.00
DPH	Beattie, David	02-Feb-21	\$15,000.00
DYS	Carrozza, Michelle	02-Feb-21	\$4,193.77
SDW	Cristo, Jude	16-Feb-21	\$733,535.40
POL	Buth, Sabay	16-Feb-21	\$128,960.15
AGO	Geary, John J	16-Feb-21	\$22,575.00
DOC	Fuller, Debra A	16-Feb-21	\$18,000.00
EQE	Brierley, David R	16-Feb-21	\$9,359.51
MBC	Tamaccio, Alice	16-Feb-21	\$10,000.00
DMH	Buckley, Victoria	02-Mar-21	\$12,500.00
EHS	Kortekamp, Debra M	16-Mar-21	\$5,000.00
MAS	Weeden, Paul	30-Mar-21	\$225.00
DCR	Bell, Wesley A	30-Mar-21	\$13,000.00
Payroll Taxes Third Quarter 2021			\$36,600.45
Total			\$4,802,263.09

* Statutory exemptions:
CORI
M.G.L. ch. 6, § 167
M.G.L. ch. 4, § 7 (26)(a) and (c).