



Commonwealth of Massachusetts

OFFICE OF THE COMPTROLLER

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WILLIAM McNAMARA
COMPTROLLER

July 22, 2021

Honorable Michael J. Rodrigues, Chair
Senate Committee on Ways and Means
State House – Room 212
Boston, MA 02133

Honorable Aaron Michlewitz, Chair
House Committee on Ways and Means
State House – Room 243
Boston, MA 02133

RE: Settlements and Judgments Report —Fiscal Year 2021 Quarter 4 Reporting

Dear Chair Rodrigues and Chair Michlewitz:

Pursuant to Section 2 of Chapter 227 of the Acts of 2020, the Office of the Comptroller hereby submits to the House and Senate Committees on Ways and Means a report on payments made from the Settlements and Judgments reserve (1599-3384) through the fourth quarter of Fiscal Year 2021, which ended on June 30, 2021.

The attached report provides information on payees, amounts, and the associated department or agency for settlements and judgments paid from appropriation account 1599-3384. This information is also posted quarterly on the Comptroller's transparency website, CTHRU, which may be accessed via this portal link, <https://www.macomptroller.org/cthru>.

Fiscal Year 2021 – Spending Quarters 1 thru 4 (July 1, 2020 – June 30, 2021)

In Fiscal Year 2021, \$10,000,000 was appropriated to the Settlements and Judgments reserve account (1599-3384). Additionally, pursuant to that same line item, a formula is used by which the Secretary of Administration and Finance may establish a spend in anticipation ceiling amount, to which the Office of the Comptroller may spend, above the current appropriation, without further authorization. For Fiscal Year 2021, the spend in anticipation ceiling was set at \$12,088,374.

As detailed below, a total of \$10,637,807 was spent during the previous quarters (Q1 – Q3) of Fiscal Year 2021 and \$1,086,535 was spent in the fourth quarter (Q4). There will be additional FY21 spending during the Accounts Payable period, which will end on August 31. A final expenditure report will be issued shortly after August 31st, which will include final figures.

FY21 – Spending Quarters 1 thru 4 (July 1, 2020 – June 30, 2021)	
Total Amount Available FY21 *	\$ 12,088,374
Amount Expended Q1-Q3	\$ (10,637,807)
Amount Expended in Q4	\$ (1,086,535)
Remaining Balance (06/30/21)**	\$ 364,032

*Represents spend in anticipation ceiling

**Represents balance available under spend in anticipation of ceiling

As part of the supplemental budget process for Fiscal Year 2021, additional funds will be requested. This additional amount will fund Settlement and Judgment dollars expended under the spend-in-anticipation formula but not otherwise appropriated.

I am always available, should you have any questions, comments or concerns regarding this account.

Sincerely,



William McNamara
Comptroller of the Commonwealth

WMcN/sp

Enc.

cc: Michael Heffernan, Secretary, Executive Office for Administration and Finance|
Christopher Marino, Budget Director, Senate Ways and Means Committee
David Bunker, Budget Director, House Ways and Means Committee
Catharine Hornby, Undersecretary, Executive Office for Administration and Finance
Jeffrey Shapiro, Esq., First Deputy Comptroller, Office of the Comptroller
Kevin McHugh, Assistant Comptroller, Office of the Comptroller
Amy Nable, General Counsel/Assistant Comptroller, Office of the Comptroller
Jess Cogswell, Statewide Payments Manager, Office of the Comptroller

**Settlement and Judgment Payments
Appropriation 1599-3384**

Fourth Quarter BFY2021

Paid on Behalf of	Payee Name	Payment Date	Line Amount
GOV	GREENBERG TRAURIG LLP	05-Apr-21	\$4,387.00
HRD	BRODEUR-MCGAN PC	06-Apr-21	\$16,000.00
DOC	NICOLE CAPLIN	06-Apr-21	\$72,500.00
POL	TIMOTHY BURKE	07-Apr-21	\$2,962.50
DOC	ZALKIND DUNCAN & BERNSTEIN LLP	07-Apr-21	\$27,500.00
POL	RAFANELLI & KITTREDGE PC	07-Apr-21	\$13,801.25
POL	ADNIEL ROSARIO	08-Apr-21	\$20,000.00
DOC	INTERNAL BUYER	08-Apr-21	\$81,664.78
GOV	GREENBERG TRAURIG LLP	09-Apr-21	\$13,571.00
CTR	INTERNAL REVENUE SERVICE	09-Apr-21	\$182,990.00
PAR	NANCY NIEVES	09-Apr-21	\$400.00
POL	ROBERT T TAYLOR	09-Apr-21	\$5,000.00
NEC	HEROLD LAW GROUP PC	15-Apr-21	\$2,500.00
AGO	PETER BOZIER	19-Apr-21	\$9,000.00
AGO	TODD & WELD LLP	20-Apr-21	\$16,228.82
AGO	TODD & WELD LLP	20-Apr-21	\$14,203.30
AGO	TODD & WELD LLP	20-Apr-21	\$3,172.50
GOV	GREENBERG TRAURIG LLP	04-May-21	\$11,431.00
POL	LAW OFFICE DANIEL J MOYNIHAN PC	04-May-21	\$21,659.15
DOC	SCOTT A LATHROP	04-May-21	\$13,500.00
AGO	BUTTERS BRAZILIAN, LLP	13-May-21	\$2,700.00
ENV	BOWDITCH & DEWEY	14-May-21	\$340.00
CHE	JULIANNA MCCORMICK	20-May-21	\$19,999.99
DOT	Commonwealth of MA Unemployment Trust Fund	02-Jun-21	\$40,001.00
AGO	BUTTERS BRAZILIAN, LLP	04-Jun-21	\$1,030.25
AGO	TODD & WELD LLP	24-Jun-21	\$53,970.15
GOV	GREENBERG TRAURIG LLP	24-Jun-21	\$49,516.36
POL	RAFANELLI & KITTREDGE PC	25-Jun-21	\$12,137.50
POL	RAFANELLI & KITTREDGE PC	25-Jun-21	\$9,737.50
DSS	Commonwealth of MA Unemployment Trust Fund	28-Jun-21	\$13,230.00
DMH	Benjamin, Gina D	13-Apr-21	\$3,300.00
BRC	Aguilar, Carmen	13-Apr-21	\$30,000.00
DMH	Compere, Erta C	13-Apr-21	\$180.54
DSS	Johnson, Cinthia H	13-Apr-21	\$5,000.00
MAS	Boudreau, Catherine	13-Apr-21	\$1,500.00
DCR	Hopkinson, Matthew H	13-Apr-21	\$2,500.00
NEC	Cavallaro, Lenny A	13-Apr-21	\$5,000.00
BHC	Bonds, Robert E	13-Apr-21	\$20,000.00
DMR	Hartwell-Walker, Marie R	27-Apr-21	\$1,560.00
DOC	Moreira, Spylberg F	11-May-21	\$797.25
DOC	Samuels, Derrick A	11-May-21	\$363.74
MBC	Cajuste Casseus, Vanessa	11-May-21	\$3,000.00
DOE	Vazquez Matos, Alberto	11-May-21	\$102,500.00
NSC	Kelley, Kristine	11-May-21	\$4,800.00
RCC	Gaskin, Alfred	11-May-21	\$3,566.00
QCC	O'Neill, Karen	25-May-21	\$3,300.00
QCC	Tzipori, Julie	25-May-21	\$500.00
DOC	Jean-Louis, Wydonia I	25-May-21	\$1,254.43
QCC	Martin, Meghan E	25-May-21	\$600.00
DPH	Fuller-jones, Sydney L	25-May-21	\$14,403.52
DOC	Loughran, Shawn J	25-May-21	\$323.54
QCC	Mullaney, Greg	25-May-21	\$600.00
BCC	Meehan, Wendy A	25-May-21	\$57,500.00
DOC	Germain, Keith P	25-May-21	\$356.72
DOC	Chaplin, Michael K	25-May-21	\$324.10
DMH	Alabi, Festus O	08-Jun-21	\$403.07
DMH	Okunlola, Monica J	08-Jun-21	\$197.31
MCA	Licitra, Danielle	08-Jun-21	\$7,800.00
DSS	Hathaway, Frances M	22-Jun-21	\$74,067.69
Payroll Taxes Fourth Quarter 2021			\$5,702.73
Total			\$1,086,534.69